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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/859,682	05/18/2001	Mark Ashby	032005-097

CONFIRMATION NO. 3365

## FORMALITIES LETTER



\*OC000000006333504\*

Robert E. Krebs  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 07/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/27/2001 SFELEKE1 00000067 024800 09859682

01 FC:201	370.00 OP	FILED UNDER 37 CFR 1.53(b)  <i>Filing Date Granted</i>
02 FC:202	84.00 OP	
03 FC:203	216.00 OP	
04 FC:205	65.00 OP	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$296.
  - \$216 for 24 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 716.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*CV.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09859682 112601



Patent

Attorney's Docket No. 032005-097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Mark ASHBY, et al.

Application No.: 09/859,682

Filed: May 18, 2001

For: DEPTH AND PUNCTURE CONTROL  
FOR SYSTEM FOR HEMOSTASIS OF  
BLOOD VESSEL

Group Art Unit: unassigned

Examiner: unassigned

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(b) dated July 24, 2001, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☒ \$65.00 (205) [ ] \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☐ a Request for Refund;
- ☒ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and a separate check for the \$40.00 (581) Assignment recordation fee;
- ☐ drawings for publication;
- ☒ other Replacement Drawings;
- ☒ a check in the amount of \$ 716.00 for the fee due for missing parts; and

(05/01)

☐ charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.

☒ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By: 

Adam J. Cermak  
Registration No. 40,391

Date: November 26, 2001

09869682 11601  
T0922T "28965860



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Patent  
Attorney's Docket No. 032005-097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of	)	
Mark ASHBY, et al.	)	Group Art Unit: 3761
	)	
Application No.: 09/859,682	)	Examiner: unassigned
	)	
Filed: May 18, 2001	)	
	)	
For: DEPTH AND PUNCTURE CONTROL	)	
FOR SYSTEM FOR HEMOSTASIS OF	)	
BLOOD VESSEL	)	
	)	

SUBMISSION OF REPLACEMENT DRAWINGS

**BOX: MISSING PART**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find 31 sheet(s) of formal drawings for review by the Patent and Trademark Office. Should the enclosed drawings require changes, it is respectfully requested that the Patent and Trademark Office notify the undersigned of same.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

Adam J. Cermak  
Registration No. 40,391

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Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: November 26, 2001